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# NOTICE OF ALLOWANCE AND FEE(S) DUE

27927 7590 03/20/2009 RICHARD AUCHTERLONIE NOVAK DRUCE & QUIGG, LLP EXAMINER

MORRISON, JAY A

ART UNIT PAPER NUMBER

2168 DATE MAILED: 03/20/2009

NOVAK DRUCE & QUIGG, LLP 1000 LOUISIANA 53RD FLOOR HOUSTON, TX 77002

 APPLICATION NO.
 FILING DATE
 FIRST NAMED INVENTOR
 ATTORNEY DOCKET NO.
 CONTRIBATION NO.

 10/603,411
 06/25/2003
 Philippe Armangau
 10/830,095,NPUS0
 41/72

TITLE OF INVENTION: REPLICATION OF SNAPSHOT USING A FILE SYSTEM COPY DIFFERENTIAL

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	06/22/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 1SI. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FIEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

appropriate. All further indicated unless corrects maintenance fee notifica	correspondence includir ed below or directed oth	of transmitting the 1336 ig the Patent, advance of herwise in Block 1, by (a	rders and notification of r a) specifying a new corres	naintenance fees wi pondence address;	ill be and/or	mailed to the current (b) indicating a sepa	correspondence address as arate "FEE ADDRESS" for
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53RD FLOOR HOUSTON, TX	77002						(Depositor's name)
			<u> </u>				(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR			RNEY DOCKET NO.	CONFIRMATION NO.
10/603,411	06/25/2003		Philippe Armangau		10	830.095.NPUS0	4172
TITLE OF INVENTION	: REPLICATION OF S	VAPSHOT USING A FIL	E SYSTEM COPY DIFFE	RENTIAL			
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0		\$1810	06/22/2009
EXAM	IINER	ART UNIT	CLASS-SUBCLASS				
MORRISO	ON, JAY A	2168	707-203000				
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☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47, Rev 03-02 or more recent) attached. Use of a Customer Number is required.			(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is 1 isted, no name will be printed.				
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print or typ	ie)			
PLEASE NOTE: Uni recordation as set fort	less an assignee is ident h in 37 CFR 3.11. Com	ified below, no assignee pletion of this form is NO	data will appear on the p T a substitute for filing an	atent. If an assigne	e is ic	lentified below, the de	ocument has been filed for
(A) NAME OF ASSI			(B) RESIDENCE: (CITY				
Please check the appropr	iate assignee category or	categories (will not be pr	inted on the patent):	Individual Con	rporati	on or other private gro	oup entity Government
4a. The following fee(s)	are submitted:	41	. Payment of Fee(s): (Plea	se first reapply any	y prev	iously paid issue fee	shown above)
			A check is enclosed.  Payment by credit car	d Form PTO-2038	is atta	ched	
Advance Order - # of Copies			The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).				
5. Change in Entity Sta	tus (from status indicate	d above)	overpayment, to requ	on recount runner		(cherose a	a cana copy of any formy.
- 11	s SMALL ENTITY state		b. Applicant is no lon				
NOTE: The Issue Fee an interest as shown by the	d Publication Fee (if req records of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than t Office.	he applicant; a regis	tered :	attorney or agent; or th	ne assignee or other party in
Authorized Signature				Date			
Typed or printed nam				Registration No			
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PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.



# UNITED STATES PATENT AND TRADEMARK OFFICE

#### UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P O Box 1450 Alexandria, Virgima 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/603,411	06/25/2003	Philippe Armangau	10830.095.NPUS0	4172
27927 75	590 03/20/2009		EXAM	INER
RICHARD AUCHTERLONIE MORRISON, JAY A				
NOVAK DRUCE			ART UNIT	PAPER NUMBER
1000 LOUISIANA 53RD FLOOR HOUSTON, TX 7			2168 DATE MAILED: 03/20/200	9

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1140 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1140 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Notice of Allowability

Application No.	Applicant(s)			
10/603,411	ARMANGAU ET AL.			
Examiner	Art Unit			
IAY A MORRISON	2168			

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence addressAll claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included
herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS
NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative
of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- This communication is responsive to BPAI Decision of 1/23/2009.
- The allowed claim(s) is/are 8,9,16-18,33,34,41-43,54 and 59-61.
- 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) All b) Some\* c) None of the:
    - 1. 

      Certified copies of the priority documents have been received.
    - 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_
    - Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
  - \* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- 5. CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) 🔲 including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached
    - 1) I hereto or 2) to Paper No./Mail Date \_\_\_\_
  - (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

 DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

## Attachment(s)

- 1. Notice of References Cited (PTO-892)
- 2. 
  Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3. Information Disclosure Statements (PTO/SB/08),
- Paper No./Mail Date \_\_\_\_\_\_

  4. Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application
- Interview Summary (PTO-413), Paper No./Mail Date .
- 7. Examiner's Amendment/Comment
- Examiner's Statement of Reasons for Allowance
- 9. Other Search Notes.

### /Tim T. Vo/

Supervisory Patent Examiner, Art Unit 2168

Application/Control Number: 10/603,411

Art Unit: 2168

# DETAILED ACTION

### Reasons for Allowance

The following is an examiner's statement of reasons for allowance:

Claims 8, 9, 16-18, 33, 34, 41-43, 54 and 59-61 are allowable over the prior art of record. The closest prior art of record Goldstein (US Publication Number 2004/0163009 A1), teaches a plurality of snapshot difference lists that indicate changes between each snapshot copy and a next snapshot copy, these difference lists being generated, however it fails to teach scanning the difference lists, these limitations being indicated in claim 8 as "scanning the indices for a sequence of the snapshot copies including the index for the specified older one of the snapshot copies", in claim 9 as "the method includes scanning the index for the specified younger one of the snapshot copies", in claim 16 as "the method includes scanning the meta bit map for the specified younger one of the snapshot copies", in claim 17 as "accessing the second index for the specified younger one of the snapshot copies to determine blocks of data in the production file system that are in use in the specified younger one of the snapshot copies", in claim 33 as "scanning the indices for a sequence of the snapshot copies including the index for the specified older one of the snapshot copies", in claim 34 as "scanning the index for the specified younger one of the snapshot copies", in claim 41 as "scanning the meta bit map for the specified younger one of the snapshot copies", in claim 42 as "accessing the second index for the specified younger one of the snapshot copies to determine blocks of data in the production file system that are in use in the

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Art Unit: 2168

specified younger one of the snapshot copies", in claim 54 as "scanning the indices for a sequence of the snapshot copies including the index for the specified older one of the snapshot copies", in claim 59 as "scanning the indices for a sequence of the snapshot copies to determine the blocks that have changed between an older one of the snapshot copies and a younger one of the snapshot copies" and in claim 60 as "accessing the second index for the specified younger one of the snapshot copies to determine blocks of data in the production file system that are in use in the specified younger one of the snapshot copies".

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jay A. Morrison whose telephone number is (571) 272-7112. The examiner can normally be reached on M-F 8-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tim Vo can be reached on (571) 272-3642. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Tim T. Vo/ Supervisory Patent Examiner, Art Unit 2168

Jay Morrison TC2100 Tim Vo TC2100